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PTO/SB/29 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

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**CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL**

Submit an original, and a duplicate for fee processing.

(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable:

☐ DUPLICATE

Address to:

Assistant Commissioner for Patents  
Box CPA  
Washington, DC 20231Attorney Docket No.  
of Prior Application

RPMS 102

First Named Inventor

09/101,413

Examiner Name

G. Ewoldt

Group Art Unit

1644

Express Mail Label No.

This is a request for a ☐ continuation or ☐ divisional application under 37 CFR 1.53(d),  
(continued prosecution application (CPA)) of prior application number 09 / 101,413,  
filed on August 7, 1998, entitled Immunotherapy Using Cytotoxic T Lymphocytes.

**NOTES**

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. ☒ Enter the unentered amendment previously filed on May 30, 2002  
under 37 CFR 1.116 in the prior nonprovisional application.
2. ☐ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:  
.....
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ PTO-1449
  - b. ☐ Copies of IDS Citations

06/11/2002 GDUCKET

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40.00 CF

b. ☐ Copies of IDS Citations

(Page 1 of 2)

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

PTO/SB/29 (08-00)

Approved for use through 10/31/2002. OMB 0851-0032  
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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c) or (j))		18-20* =	0	x \$ -0- =	\$ -0-
INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))		1-3** =	0	x \$ -0- =	-0-
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ 270.00 =	
				BASIC FEE (37 CFR 1.16)	740.00
				Total of above Calculations =	740.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.27).					
* Release claims in excess of 20 and over original patent. ** Release independent claims over original patent.				TOTAL =	740.00

6. ☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to  
Deposit Account No. 50 - 1868:a. ☒ Fees required under 37 CFR 1.16.b. ☒ Fees required under 37 CFR 1.17.c. ☐ Fees required under 37 CFR 1.18.8. ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.9. ☐ Payment by credit card. Form PTO-2038 is attached.10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) (fee under 37 CFR 1.17(i) enclosed).11. ☐ New Attorney Docket Number, if desired \_\_\_\_\_

(Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.)

12. a. ☒ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)b. ☐ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)13. ☐ Other: \_\_\_\_\_**NOTE:**The prior application's correspondence address will carry over to this CPA  
UNLESS a new correspondence address is provided below.**14. NEW CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Labelor ☐ New correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Patrea L. Pabst				
	HOLLAND & KNIGHT LLP				
Address	One Atlantic Center				
	1201 West Peachtree Street, Suite 2000				
City	Atlanta	State	GA	Zip Code	30309-3450
Country	United States	Telephone	(404) 817-8473	Fax	(404) 817-8588

**15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)

Patrea L. Pabst

Signature

Registration No. (Attorney/Agent)

31,284

Date

June 7, 2002

[Page 2 of 2]

PTO/SB/29A (8/98)

Approved for use through 09/30/2000. OMB 0851-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<p><b>If this RECEIPT is included with a request for a CPA filed by facsimile transmission, it will be date stamped and mailed to the ADDRESS in Item 1.</b></p>		<p align="center"><b>RECEIPT FOR FACSIMILE TRANSMITTED CPA</b></p> <p align="center">(To accompany a request for a Continued Prosecution Application (CPA) under 37 CFR 1.55(a) filed by facsimile transmission)</p>
<b>1. ADDRESS</b>	<p>Applicant's Mailing Address for this receipt <u>must</u> be CLEARLY PRINTED or TYPED in the box below.</p> <p>Patrea L. Pabst HOLLAND &amp; KNIGHT LLP One Atlantic Center 1201 West Peachtree Street, Suite 2000 Atlanta, GA 30309-3400</p>	
<p><b>NOTE:</b> By this receipt, the PTO (a) acknowledges that a request for a CPA was filed by facsimile transmission on the date stamped below by the PTO and (b) verifies only that the application number provided by the applicant on this receipt is the same as the application number provided on the accompanying request for a CPA. This receipt CANNOT be used to acknowledge receipt of any paper(s) other than the request for a CPA.</p>		
<p><b>2. APPLICATION IDENTIFICATION:</b> (Provide at least enough information to identify the application)</p> <p><b>a. For prior application</b></p> <p>Application No: 09/101,413</p> <p>Filing Date: August 7, 1998</p> <p>Title: Immunotherapy Using Cytotoxic T. Lymphocytes</p> <p>Attorney Docket No: RPMS 102</p> <p>First Named Inventor: Hans Josef Stauss</p> <p><b>b. For instant CPA application</b></p> <p>New Attorney Docket No: _____ (if applicable)</p>		
<p>The PTO date stamp, which appears in the box to the right, is an acknowledgement by the PTO of receipt of a request for a CPA filed by facsimile transmission on the date indicated below.</p>		<p align="center">(THIS AREA FOR PTO DATE STAMP USE)</p>
<p><b>PTO HANDLING INSTRUCTIONS:</b></p> <p>Please stamp area to the right with the date the complete transmission of the request for a CPA was received in the PTO and also include the PTO organization name that provided the date stamp (stamp may include both items). Verify that the application number provided by applicant on this receipt is the same as the application number provided by applicant on the request for a CPA accompanying this receipt. If there is an inconsistency between the application number provided on this receipt and the request for a CPA, strike through the inconsistent application number provided on this receipt and insert the correct application number, if possible. Then place in a window envelope and mail.</p>		

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JUN. 7. 2002 8:45AM

HOLLAND & KNIGHT

NO. 4135 P. 1

Law Offices

## HOLLAND & KNIGHT LLP

One Atlantic Center  
1201 West Peachtree Street, N.E.  
Suite 2000  
Atlanta, Georgia 30309-3400

404-817-8500  
FAX 404-881-0470  
http://www.hklaw.com

Atlanta  
Boston  
Bradenton  
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JUN 07 2002

GROUP 1600

## FACSIMILE

### TO:

Group 1600 After Final	Assistant Commissioner for Patents	703-872-9307
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Washington	DC	703-308-0198
CITY	STATE	(TELEPHONE NUMBER)

### FROM:

Patrea L. Pabst	404-817-8473	6
NAME	TELEPHONE	TOTAL PAGES (Including Cover Sheet)

### FOR THE RECORD:

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FAXED BY:	FILE #: 078230/00002	CLIENT NAME: RPMS 102	
CONFIRMED: <input type="checkbox"/> YES <input type="checkbox"/> NO	NAME:	TIME:	

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### MESSAGE:

Applicant: Hans Josef Stauss

Serial No.: 09/101,413

Art Unit: 1644

Filed: August 7, 1998

Examiner: G. Ewoldt

For: "IMMUNOTHERAPY USING CYTOTOXIC T LYMPHOCYTES"

ATL1 #511177 v1

JUN. 7. 2002 8:45AM HOLLAND & KNIGHT

NO. 4135 P. 2

U.S.S.N. 09/101,413  
Filed August 7, 1998  
CONTINUED PROSECUTION APPLICATION

**FAX RECEIVED**

JUN 07 2002


**GROUP 1600**

**OFFICIAL**

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that the attached Continued Prosecution Application (CPA) Request Transmittal and all documents shown as being attached is being facsimile transmitted to the U. S. Patent and Trademark Office on the date shown below.

Date: June 7, 2002

  
\_\_\_\_\_  
Jean Hicks

ATL1 #528390 v1

RPMS 102  
078230/00002

Received from <404 898 8002> at 6/7/02 8:44:28 AM [Eastern Daylight Time]